



Good till Triggered Policy

Rikhav Securities Limited (herein after referred as "Rikhav") is providing Good Till Triggered order facility to its clients. Therefore, as per Exchange (s)/SEBI guidelines, Rikhav has formulated following policy to handle Good till Cancelled order in case of corporate action.

Purpose: The purpose of this Goods Till Triggered (GTT) Order Policy is to define the procedures and conditions under which GTT orders are placed, managed, and executed. This policy aims to provide clarity on how GTT orders work and ensure that they are handled in a transparent and efficient manner.

Details of Good Till Triggered (GTT) Order Facility:

Rikhav offer GTT order facility to its client. A GTT order is a type of conditional order that remains valid until a predefined trigger condition is met. Once the trigger condition is satisfied, the GTT order is activated and executed according to the specified instructions.

Trigger Condition: The client must specify the conditions under which the GTT order will be activated. This could include price levels, quantity, or other relevant criteria. Further on trigger of price, the GTT order will then be executed with exchange.

Order Details: The client must provide complete details of the GTT order, including the trigger condition, order type (buy/sell), quantity, price, and any additional instructions.

Duration: The validity of GTT orders will be 90 days from the date of placing the order using the GTT facility, except for derivatives orders, which **will automatically extend for an additional 90 days on the last day, and will continue to do so thereafter which** will be valid until the expiry date.

Trigger Satisfaction: Once the trigger condition is met, the GTT order is activated and treated as a standard order, subject to market conditions and execution practices.

Activation: Upon activation, the GTT order will be executed based on the prevailing market conditions and the instructions provided by the client. GTT order will only get executed if the client has sufficient funds/margins in its Rikhav Securities trading account. The GTT order for selling holdings will be executed only if the clients have sufficient holdings in Demat account or positions. The client understands that, in case scrip in which GTT order has been placed subsequently included in ASM/GSM, the order will be cancelled automatically. GTT is available only for CNC / MTF (Cash segment) and NRML (Derivatives segment) product types and it is not available for other product types.

Registered Office: 922 - A, 9 Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400 001, Maharashtra, India.

Corporate Office: B - Wing, Office No. 501 & 502, O2 Commercial Building, Asha Nagar Park Road, Mulund West, Mumbai - 400 080, Maharashtra, India. • **Tel.:** 022-69078300 • **Email:** investor@rikhav.in/info@rikhav.net • **Web:** www.rikhav.net • **GSTIN:** 27AADCR3067Q1ZS

CIN: L99999MH1995PLC086635 • **DEPOSITORY PARTICIPANT ID:** 12051500 • **DPSEBI REG.NO.** IN-DP-CDSL-417-2007
NSE MEMBERSHIP NO. 12804 / **BSE:** CLEARING NO. 3174 • **SEBI REG. NO.** INZ000157737



RIKHAV SECURITIES LTD

Growth, Returns and Security

Member: BSE • NSE • ICEX • DP : CDS

Manner of handling of GTT orders in case of corporate actions (e.g. cancellation, price reset, retaining, etc. for the unexecuted orders): In the event of a corporate action, such as a bonus, dividend, stock split, etc., GTTs associated with the respective stocks will be automatically cancelled prior to the ex-date. Please note that you will need to manually re-place the GTTs after the completion of the corporate action. This cancellation is implemented to prevent the triggering of orders by the stock price movement resulting from the corporate action. All corporate actions shall be intimated to clients having unexecuted GTT orders at least one day prior to the ex-date of the corporate action.

Partial Execution: If only part of the order can be filled, the remaining quantity may remain open or be cancelled based on client instructions.

Market Conditions: Execution is subject to market liquidity and other factors. The company does not guarantee that the order will be executed at the specified price.

Modification: Clients may modify the trigger condition, order details, or other relevant parameters of a GTT order before it is activated.

Cancellation: Clients can cancel a GTT order at any time before it is triggered. Once activated, the order can only be cancelled or modified according to standard order management procedures. In the event of corporate actions, all existing GTT orders are cancelled one day before the ex-date of the corporate action and clients will be intimated one day prior to ex-date.

Market Risk: Clients should be aware of market risks, including price volatility and liquidity issues, which may impact the execution of GTT orders.

System Risk: There may be technical issues or system limitations that affect the activation and execution of GTT orders. The company does not guarantee that the order will be triggered and executed during market volatility.

Thanking You,

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