



# RIKHAV SECURITIES LTD

Growth, Returns and Security

## Following are the Checklist for Registration of Authorised Person in BSE and NSE.

- 1) PAN Card of Applicant (must be Self attested by applicant and duly CA/CS/Notary/Advocate attested).
- 2) Residential Address Proof of Applicant - Aadhaar Card, Voters ID, Driving License, Passport, Landline Telephone Bill (Latest 3 Months), Electricity Bill (Latest 3 Months), Bank Statement (Latest 3 Months), Rent Agreement, Shop Establishment Certificate, MOA, Trade License Agreement (must be Self attested by applicant and duly CA/CS/Notary/Advocate attested).
- 3) Education Qualification Proof of Applicant (Minimum age & education qualification are 18 years and SSC or equivalent to SSC respectively.). - Copy of education certificate, education mark sheet (must be Self attested by applicant and duly CA/CS/Notary/Advocate attested).
- 4) Email ID of Applicant.
- 5) Contact No. (Mobile no. / Telephone no.) of Applicant.
- 6) Please mention the segment for AP registration.
- 7) **The Exchange Registration Charges as follows :-**

Sr. No.	Exchange	Segment	Registration Charges	GST *18%	Stamp duty charges	Total	Issue Cheque in favour of
1.	BSE	Cash	Rs. 2000/-	Rs.360/-	Rs.500/-	Rs.2860/-	RIKHAV SECURITIES LIMITED
2.	NSE	Cash	Rs. 2000/-	Rs.360/-	Rs.500/-	Rs.2860/-	RIKHAV SECURITIES LIMITED
3.	NSE	Futures & Options	Rs. 2000/-	Rs.360/-	Rs.500/-	Rs.2860/-	RIKHAV SECURITIES LIMITED
4.	NSE	Currency Derivatives	Rs. 2000/-	Rs.360/-	Rs.500/-	Rs.2860/-	RIKHAV SECURITIES LIMITED

### Points to be noted :

- In case the name of the Authorised Person as per the application submitted differs from that of the above documents uploaded along with the application, the certified true copy of Marriage Certificate/ Notarised Affidavit should be submitted.
- Documents should be CA/CS/Notary/Advocate attested with their membership number mentioned.
  - **Applicant Authorised Person should :**
    - Not be a Trading Member of the Exchange.
    - Not be a registered Authorised person of any other Trading Member of the Exchange.
    - Not a Proprietor/Partner/Director in any firm/corporate of a trading member or registered Authorised Person.
    - Have a good reputation and character.
  - **Applicant Authorised Person :**
    - Is not a defaulter in any other Stock Exchange.
    - Is not appearing in SEBI debarred Directors/ Vanishing Companies List.
    - Has not been convicted of any offence involving fraud or dishonesty Not appearing in CIS and Non CIS list or CIBIL database.
    - Trading Member is not disabled or suspended or any disciplinary action has been taken/initiated.