

**Rikhav Securities Limited, 35/36, Matru Chhaya, S.N. Road, Mulund (West), Mumbai-400080**

FATCA-CRS Annexure for Individual Customer

Details under FATCA and CRS

(Please consult your professional tax advisor for further guidance on your tax residency, if require, in case of joint holders, this declaration to be obtained for each holder of such account)

1 Name of Customer																												
2 Customer ID																												
3 Entity Name ( only for the Beneficial Owner & Controlling Person )																												
4 Nationality																												
5 City of Birth																												
6 Country of Birth																												
7 Address Type	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office																									
8 Tax Residence Address																												
Land Mark																	City											
State																	Country											
Pin																												
9 Father's Name																	Mandatory if PAN is not available											
10 Spouse Name																												
11 PAN																												
12 Date of Birth																												
13 Aadhar No.																												
14 Occupation																												

15 Identification Type and Identification Number (Document submitted as proff of identity of the Individual):

Name of the document Submitted: \_\_\_\_\_

Identification Number: \_\_\_\_\_

16 Tax Residence details as applicable to you:

(Please indicate All the Countries in which you are a resident for tax purpose and the associated Tax ID Number below)

Country	Tax Identification Number %	Identification Type (TIN or Others%, Please Specify)

# To also Include USA, where the individual is a citizen/green card holder of USA

% In case Tax Identification Number is not applicable, kindly provide functional equivalents

**FATCA - CRS Certification**

I have understood the Information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA-CRS Terms and Condition and hereby accept the same. In case of any changes, I will inform the FI within 30 days. (Please refer our detailed T&C for further det

Name:

Signature:

In case of controlling person, the Signature is of the authorized signatory of the respective entity ( where it is not being signed by the controlling person him/her self)

Date : \_\_\_\_\_ Place : \_\_\_\_\_

**FATCA-CRS Terms and Condition:**

"The Central Board of Direct Taxes has notified rules 114F to 114H. as part of the Income-tax Rules 1962, which rules require Indian financial institutions Such as the Bank to seek additional personal tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities appointed agencies. Towards Compliance. we may also be required to provide information to any institutions such as withholdings agents for the purpose of ensuring appropriate withholding from the account for any proceeds in relation thereto. Should there be any changes in any information provided by you. please ensure you advise us promptly. i.e. within 30 days

**FATCA-CRS Instructions:**

If you have any question about your tax residency, please contact your tax advisor. If you are US citizen of resident or green card holder. please include United States in the foreign country information feild along with your US Tax Identification Number.

Sit is Mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. if no TIN is yet available or has not yrt been issued. please provide an explanation and attach this to form